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Sheet 1 of 1

Form PTO-1449 US Dept. of Commerce (REV. 8-83) PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT			ATTY DOCKET NO. 109460			APPLICATION NO. New US. Patent Application				
(Use several sheets if necessary)				APPLICA Takahiro	953706 853706					
				FILING DATE May 14, 2001			GROUP		3C978	
		U.S.	PAT	ENT DOCU	MENTS .				`	
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74		09/690,901	10-	2000	Takahiro SUGIMOTO					
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		OTHER DOCUMENTS (Inc	cludia	ng Author,	Title, Date, Pertinent Pag	es, etc.)				
711		COBIT steering Committee and Inform Edition (1998) (http://www.isaca.org/o			Audit and Control Found	ation : COB	IT Execu	tive Sumn	nary 2 nd	
0 - 1		ISO/IEC TR 13335-1-3 (GMITS) Technical Report (1996-1998)								
7.1		GENERALLY-ACCEPTED SYSTEM /www/gassopl.html)	(1999) (http	p://web.m	nit.edu/sec	urity				
-14		Common Criteria V2 (http://csrc.nist.gov/cc/ccv20/ccv2list.htm)								
711		"The Information Security Maturity Grid" Timothy R. Stacey Information System Security p. 22 Summer 1996								
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JUN 1 1 2002			APPLICANT(S) Takahiro SUGIMOTO							
		FILING May 14,			GROUP 2131					
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74	JPH7-262135A	10/13/1995	Japan							
11	JPH11-168511A	06/22/1999	Japan							
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M	JP273377H	10/05/2001	Japan			<u>.</u>				
	OTHER DOCUMENTS (Including Author,	Title, Date, Pertiner	nt Pages, etc.)			<u> </u>			
79	Sun World Volume 9 No. 8, pp. 64-	Sun World Volume 9 No. 8, pp. 64-75, August 1, 1999								
U.S. Patent Application No. 09/690,901 filed October 18, 2000 (Previous & constrolered)										
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Date: June 11, 2002

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Form PTO-1449 (REV. 8-83)	US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 109460		APPLICATION NO. 09/853,708					
INFORMATION DISCLOSURE STATEMENT										
JUL 1 1 2002 4			APPLICANT(S) Takahiro SUGIMOTO							
			FILING May 14,			GROUP 2131				
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74	WO 00/08543	02/1	17/00	WIPO						
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Date: July 11, 2002

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Form PTO-1449 (REV. 8-83)		US Dept of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 109460			APPLICATION NO. 09/853,708			
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14		Edition (1998) (http://www.isaca.org/e	exect	.htm).						
74	2	ISO/IEC TR 13335-1-3 (GMITS) Tech	(GMITS) Technical Report (1996-1998).							
										
781	3	GENERALLY-ACCEPTED SYSTEM SECURITY PRINCIPLES (GASSP) V2 (1999) (http://web.mit.edu/security								
1		/www/gassopl.html).				·				
74	4	Common Criteria V2 (http://csrc.nist.g	gov/c	c/ccv20/ccv	Zlist.htm).			<u></u>		
111	5	"The Information Security Maturity Grid" Timothy R. Stacey Information System Security p. 22 Summer 1996.								
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